



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAME OF APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-------------------------|------------------------|
| 10/043,627 | 01/09/2002 | Xiao-Tao Chen | PH-7211 |

CONFIRMATION NO. 6514

FORMALITIES LETTER



OC000000007468042

BRISTOL-MYERS SQUIBB PHARMA COMPANY
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NJ 08543-4000

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

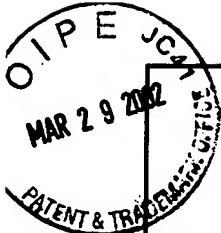
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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14/01/2002 CCHAU1 00000028 193880 10043627

1 FC:105

130.00 CH



Certificate of Mailing under 37 CFR 1.8

In the Application of: Chen et al

CASE NO.: PH-7211

APPLICATION NO.: 10/043,627

GROUP ART UNIT: 1614

FILED: JANUARY 9, 2002

EXAMINER: UNKNOWN

FOR: 1,1-disubstituted cyclic inhibitors of matrix metallo-pRoteases and tnF-alpha

I hereby certify that this correspondence a **Response to Notice to File Missing Parts of Application – Filing Date Granted, Declaration and Power of Attorney Form, PTO Notice to File Missing Parts and Postcard** are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On 3-21-02
Date


Signature

Diane C. Pickering/Depositor

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Chen et al

CASE NO.: PH-7211

APPLICATION NO.: 10/043,627

GROUP ART UNIT: 1614

FILED: JANUARY 9, 2002

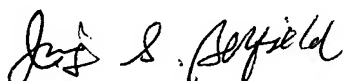
EXAMINER: UNKNOWN

FOR: 1,1-DISUBSTITUTED CYCLIC INHIBITORS OF MATRIX METALLO-
PROTEASES AND TNF-ALPHA**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION-FILING DATE GRANTED**Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, DC 20231

Sir:

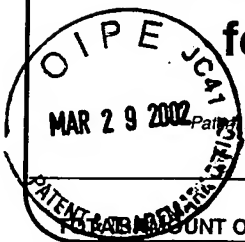
In response to the Notice to File Missing Parts of Application mailed February 13, 2002 (a copy of which is attached), enclosed is a copy of the Declaration and Power of Attorney for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 193880 (Bristol-Myers Squibb Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,


JING S BELFIELD
AGENT FOR APPLICANTS
REGISTRATION NO. 45,914
TELEPHONE: 302-695-1718Dated: 3/20/2002

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FEE TRANSMITTAL for FY 2001



Fees are subject to annual revision.

Complete if Known

Application Number 10/043,627

Filing Date January 9, 2002

First Named Inventor Xue et al

Examiner Name Unknown

Group / Art Unit 1614

Attorney Docket No. PH-7211

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

☒ Deposit Account Number

193880

☒ Deposit Account Name

Bristol-Myers Squibb Pharma Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 740 | 201 | 355 | Utility filing fee | |
| 106 | 330 | 206 | 160 | Design filing fee | |
| 107 | 510 | 207 | 245 | Plant filing fee | |
| 108 | 740 | 208 | 355 | Reissue filing fee | |
| 114 | 160 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = 0 | X | 0 |
| Multiple Dependent | -3** = 0 | X | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 195 | Extension for reply within second month | |
| 117 | 920 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 (RCE) | 710 | 279 | 355 | Request for Continued Examination | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-------------------------|---------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Jing S. Belfield | Registration No. Attorney/Agent | 45,914 | Telephone | 302-695-1718 |
| Signature | <i>Jing S. Belfield</i> | | | Date | 3/20/2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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